


**INFORMATION  
DISCLOSURE  
STATEMENT**

Atty. Docket No.: P-11209.05

Serial No.: 10/743,357

Applicant(s): Carpenter et al

Filing Date: 12-22-2003

Group:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date if Appropriate
<i>[Signature]</i>	2002/0044889	04-18-2002	Aboul-Hosn et al	422	45	
	2002/0057989	05-16-2002	Afzal et al	422	45	
	2002/0110485	08-15-2002	Stringer et al	422	45	
	2002/0114731	08-22-2002	Stringer et al	422	44	
	3,929,414	12-30-1975	Leonard	23	258.5	
	4,061,470	12-06-1977	Leonard	25	258.5	
	4,186,565	02-05-1980	Toledo-Pereyra	62	306	
	4,205,677	06-03-1980	Engstrom	128	276	
	4,243,531	01-06-1981	Crockett et al	210	188	
	4,370,983	02-01-1983	Lichtenstein	128	630	
	4,402,687	09-06-1983	Denty et al	604	319	
	4,416,658	11-22-1983	Numazawa et al	604	48	
	4,418,565	12-06-1983	St. John	73	19	
	4,424,190	01-03-1984	Mather, III et al	422	46	
	4,436,620	03-13-1984	Bellotti et al	210	90	
	4,479,761	10-30-1984	Bilstad et al	417	395	
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	4,610,656	09-09-1986	Mortensen	604	4	
	4,622,032	11-11-1986	Katsura et al	604	122	
	4,651,555	03-24-1987	Dam	73	19	
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	4,722,224	02-02-1988	Scheller et al	73	599	
	4,734,269	03-29-1988	Clarke et al	422	310	
	4,828,543	05-09-1989	Weiss et al	604	4	
	4,850,954	07-25-1989	Charvin	604	4	
<i>[Signature]</i>	5,011,469	04-30-1991	Buckberg et al	604	4	
	5,039,482	08-13-1991	Panzani et al	422	46	

EXAMINER

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	<b>Applicant(s):</b> Carpenter et al	
	<b>Filing Date:</b> 12-22-2003	<b>Group:</b>


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Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
JA	5,049,146	09-17-1991	Bringham et al	604	4	
	5,061,236	10-29-1991	Sutherland et al	604	4	
	5,069,661	12-03-1991	Trudell	604	4	
	5,110,548	05-05-1992	Montevecchi	422	48	
	5,158,533	10-27-1992	Strauss et al	604	4	
	5,205,153	04-27-1993	Hlavinka et al	73	79.03	
	5,238,655	08-24-1993	Laible et al	422	101	
	5,266,265	11-30-1993	Raible	422	46	
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	5,305,982	04-26-1994	Tamari	281	5	
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	5,308,320	05-03-1994	Safar et al	604	4	
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	5,372,593	12-13-1994	Boehringer et al	609	319	
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	5,632,894	05-27-1997	White et al	210	436	
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Document Number	Date	Country	Class	SubClass	Translation	
					Yes	No

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

<b>EXAMINER</b> 	<b>Date Considered</b> 26 Apr 06
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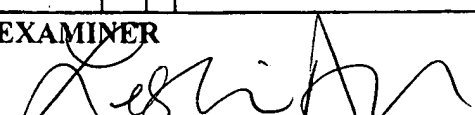
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JA	5,837,905	11-17-1998	Strauss et al	73	861.63	
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	6,071,258	06-06-2000	Dalke et al	604	5	
	6,105,912	08-22-2000	Lindsay et al	248	223.41	
	6,302,860	10-16-2001	Gremel et al	604	60.9	
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	6,559,132	05-06-2003	Holmer	514	56	

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<b>EXAMINER</b> 	<b>Date Considered</b> 20 Apr 06
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	2003/0215356	11-20-2003	Patterson et al	422	45	
	2003/0204127	10-30-2003	Rawles et al	600	16	
	2003/0194348	10-16-2003	Divino, Jr. et al	422	45	
	2003/0163078	08-28-2003	Fallen et al	604	6.01	
	2003/0161759	08-28-2003	Myrick et al	422	45	
	2003/0135152	07-17-2003	Kollar et al	604	35	
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	2002/0176798	11-28-2002	Linker et al	422	45	
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						Yes	No
JA	WO 00/47248	08-17-2000	PCT				
	EP 0786261 A2	07-30-1997	EP				
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	EP 1182452 A2	02-27-2002	EP				
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JA	WO 2004/000391	12-31-2003	PCT				

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<b>EXAMINER</b> <i>Resian</i>	<b>Date Considered</b> <i>210 Apr 06</i>
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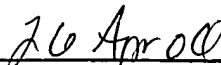
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2A	2002/0114731	08-22-2002	Stringer			
	2002/151804	10-17-2002	Gelfand et al			
	2003/135152	07-17-2003	Kollar			
	2004/084358	06-05-2004	O'Mahony			
	6,716,189	06-04-2004	Jarvik			
	6,730,267	05-04-2004	Stringer			
	6,689,315	02-10-2004	Linker			
	6,503,450	01-07-2003	Afzal			
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	5,055,198	10-08-1991	Shettigar			
	4,997,464	03-05-1991	Kopf			
	4,923,438	05-08-1990	Vasconcellos et al.			
	4,758,337	07-19-1988	Köhn et al.			
	4,572,724	02-1986	Rosenberg et al			
	4,411,783	10-1983	Dickens et al			
	3,507,395	04-1970	Bentley			

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

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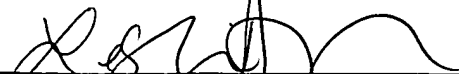

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

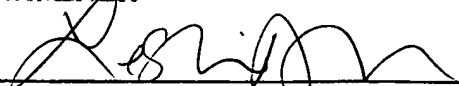

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	WO 02/064013	08-22-2002	WO				
	WO 02/26288	04-04-2002	WO				
	EP 1036567A2	02-09-2001	EP				
	DE 4326886A1	02-16-1995	DE				
	WO 96/24397	08-15-1996	WO				
	EP 0351980B1	04-06-1994	EP				
	WO 00/12155	03-09-2000	WO				

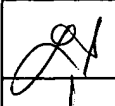



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	<i>Pediatric Cardiac Anesthesia</i> : "Extracorporeal Circulation and Circulatory Assist Devices in the Pediatric Patient," FRANK H. KERN, et al., 1997; 3rd Ed.:219-258.
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	<i>Perfusion</i> : "Minimizing The Bypass Circuit: A Rational Step In The Development Of Paediatric Perfusion," MARTIN ELLIOTT, 1993; 8:81-86.
	<i>Zasshi Journal</i> (English Abstract): "The Indications and Limitations of Open Heart Surgery Without Homologous Blood Transfusion in Children and Infants," MASANOBU MAEDA, et al., 1994; 42:1-7.
	<i>Journal of Cardiovascular Surgery</i> : "Clear Prime for Infant Cardiopulmonary Bypass: A Miniaturized Circuit," ERIC WABEKE, et al., 1988; 29(2):117-22.
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	<i>International Anesthesiology Clinics</i> : "Pediatric Cardiopulmonary Bypass: A Review of Current Practice," ROBERT C. GROOM, et al., 1996; 34:141-63.
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	<i>Proc. Eur. Society Artificial Internal Organs</i> : "Automation of Cardiopulmonary Bypass for Open Heart Surgery," P.H. MOOK, et al., 1978; 5:234-37.

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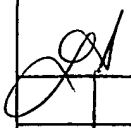
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<b>OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)</b>			
		<i>Perfusion:</i> "Mini-Circuit Cardiopulmonary Bypass With Vacuum Assisted Venous Drainage: Feasibility Of An Asanguineous Prime In the Neonate," CHRISTINE L. LAU, et al., 1999; 14:389-96.	
		<i>Artificial Organs:</i> "A Novel Technique for Cardiopulmonary Bypass Using Vacuum System for Venous Drainage with Pressure Relief Valve: An Experimental Study," SATOSHI TAKETANI, et al., 1998; 22(4):337-41.	
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		<i>Ann. Thoracic Surg.:</i> "Minimally Invasive Valve Operations," DELOS M. COSGROVE III, M.D., et al., 1998; 65:1535-9 (Applicants only have page 1535).	
		<i>Ann. Thoracic Surg.:</i> "Augmented Femoral Venous Return," LYNN SOLOMON, M.D., et al., 1993; 55:1262-3 (Applicants only have page 1262).	
		Drawing provided by Miami Children's Hospital, drawn on July 7, 1997.	
		Specification Sheets of a venous assisted CB circuit, specification sheets sent between Miami Children's Hospital and Medtronic, Inc., earliest date is December 16, 1998 (7 pages).	
		Specification Sheets of a hybrid CB circuit, specification sheets sent between Miami Children's Hospital and Medtronic, Inc., earliest date is June 20, 1997.	
		Email relating to augmented venous return sent to PerfList@aol.com, PerfList@aol.com is a multiple recipient medical informational email group, sent on April 27, 1997.	
		Email relating to augmented venous return and minimally invasive procedures sent to PerfList@aol.com, PerfList@aol.com is a multiple recipient medical informational email group, sent on April 27, 1997.	
		Email relating to venous drainage sent from Brian Crawford CCP to Jorge Ojito on April 28, 1997.	
		Email relating to augmented venous return sent by Jorge Ojito on April 26, 1997.	
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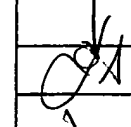
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<b>OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)</b>			
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		"Minimally Invasive & Bypass" computer search results, 1998.	
		"Minimally Invasive & Valve" computer search results, 1998.	
		"Intersept® Custom Tubing Pack with Carmeda® BioActive Surface" product label, 2003.	
		Facsimile transmission sheet from Elly Wierenga, Medtronic, Inc., regarding Carmeda® Data Analysis, September 14, 1998.	
		"Venous Pull Circuit" diagram.	
		<i>Journal of Thoracic and Cardiovascular Surgery</i> Copyright and Conflict of Interest Statement regarding manuscript entitled "Assisted Venous Drainage Cardiopulmonary Bypass: (AVDCPB) An Alternative Technique in Minimally Invasive Congenital Surgery" signature page, signed by Jorge Ojito, November 25, 1998.	
		May 4, 1999 correspondence from John A. Waldhausen, M.D., <i>The Journal of Thoracic and Cardiovascular Surgery</i> , to Redmond Burke, M.D. regarding his manuscript entitled "Assisted Venous Drainage Cardiopulmonary Bypass: an Alternative Technique in Minimally Invasive Congenital Cardiac Surgery."	
		June 28, 2000 correspondence from L. Henry Edmunds, Jr., M.D., Editor, <i>The Annals of Thoracic Surgery</i> , to Redmond P. Burke, M.D. regarding his paper entitled "Assisted Venous Drainage Cardiopulmonary Bypass: Safety and Efficacy in Congenital Heart Surgery."	
		Program and certificate of participation of Jorge Ojito at Sociedad Chilena de Circulacion Extracorporea, Vina del Mar, Chile, November 12-15, 1998.	
		"Assisted Venous Drainage Cardiopulmonary Bypass: Safety and Efficacy in Congenital Heart Surgery" presentation, JORGE OJITO.	
		Certificates of participation by Jorge Ojito Jornados Sobre Perfusion Pediatrica y Asistencia Circulatoria Mecanica, Buenos Aires, Argentina, November 17, 1998.	
		Cardiologia program, Caracas, Venezuela, June 6-10, 2000.	
		"Assisted Venous Drainage Cardiopulmonary Bypass: Safety and Efficacy in Congenital Heart Surgery," presented by JORGE OJITO, Mechanisms of Perfusion XVI, Lake Buena Vista, Florida, May 17-20, 2001.	
		"Assisted Venous Drainage During CPB: Safety and Efficacy in Congenital Heart Surgery," presented by JORGE OJITO, The 38 <sup>th</sup> Annual Scientific Meeting of Japanese Society of Pediatric Cardiology and Cardiac Surgery, 2002.	
		Comparison of claims in United States Patent No. 6,302,860 (Gremel, et al.) and United States Patent No. 6,337,049 (Tamari).	
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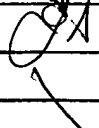
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	Applicant(s): Carpenter et al	
	Filing Date: 12-22-2003	Group:

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

 Declaration of Jorge Ojito in the matter of United States Patent Nos. 6,302,860 and 6,524,267, August 2003.

 Curriculum Vitae of Jorge Ojito.

 Declaration of Yehuda Tamari in the matter of United States Patent Nos. 6,302,860 and 6,524,267.

 Curriculum vitae of Yehuda Tamari.

**EXAMINER****Date Considered**

26 Apr 06

\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

SA		<i>The Society of Thoracic Surgeons, Ann. Thorac. Surg.:</i> "Reduction of Allogeneic Blood Transfusions After Open Heart Operations by Lowering Cardiopulmonary Bypass Prime Volume," Oz M. Shapira, et al, 1998, 65:724-30.
SA		<i>Perfusion:</i> "MAST system: a new condensed cardiopulmonary bypass circuit for adult cardiac surgery," McCusker, et al, 2001, 16(6), 447-52.
SA		<i>The Society of Thoracic Surgeons, Ann. Thorac. Surg.:</i> "Lowest Hematocrit on Bypass and Adverse Outcomes Associated With Coronary Artery Bypass Grafting," DeFoe, et al, 2001, 71:769-76.

**EXAMINER**

**Date Considered**

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